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EXAMINER				
CHAMPAGNE, LUNA				
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

### Office Action Summary

**Application No.**

10/643,514

**Applicant(s)**

BRACKEN ET AL.

**Examiner**

LUNA CHAMPAGNE

**Art Unit**

3627

**Period for Reply** -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 14 August 2008.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 33-37 and 39-44 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 33-37, 39-44 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-8508)
- Paper No(s)/Mail Date \_\_\_\_\_

- 4) ☐ Interview Summary (PTO-413)
- Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

### DETAILED ACTION

Applicant's correspondence received on 8/14/08 is acknowledged. Claims 1-32, 38, 45-76 are cancelled. Claims 33-37, 39-44 are presented for examination.

#### ***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 33, 37, 38, 40, 41, 43, 44 are rejected under 35 U.S.C. 102(e) as being unpatentable by Marcial et al (7,340,421 B1), in view of Quine (20030074288 A1), in further view of Tarter et al. (5,704,044).

Re claim 33, Marcia et al. disclose a hosting system for hosting one or more clients, comprising: a source database to store source data pertaining to a financial account, the source data exhibiting a source balance (*Business Entity Information Section - BEIS 90*); an application database to store ledger data pertaining to the financial account, the ledger data exhibiting a ledger balance (*Subsidiaries Information Section - SIS 94*); and an account reconciliation application executing at the application database to enable creation of reconciliation documents that associate the ledger data and the source data pertaining to the financial account and define reconciliation items to reconcile any differences between the source balance and the

ledge balance (*Account reconciliation Activity Section - ARAS 96*) (*see e.g. col. 5, lines 6-35*).

Marcial et al. do not explicitly, the reconciliation documents being based in part on corresponding reconciliation profiles, the reconciliation profiles being created by way of a profile creation screen that enables input of information used to establish the reconciliation profiles.

However, Quine discloses the reconciliation documents being based in part on corresponding reconciliation profiles the reconciliation profiles being created by way of a profile creation screen ( *the Reconciliation Form wizard*) that enables input of information used to establish the reconciliation profiles (*see e.g. paragraphs 0007, 0026 – this new information entered by the customer is taken into consideration in order to recalculate the account balances and the RF subtotals for each of the fields*).

Therefore, it would have been obvious to a person of ordinary skill in the art, at the time of the invention to modify Marcial et al. and include the step wherein the reconciliation documents being based in part on corresponding reconciliation profiles the reconciliation profiles being created by way of a profile creation screen that enables input of information used to establish the reconciliation profiles, as taught by Quine, in order to establish consistency during the account reconciliation process.

Marcial et al., in view of Quine, do not explicitly disclose the account reconciliation application enabling creation of reconciliation profiles that define how the financial account is to be reconciled.

However, Tarter et al. disclose the account reconciliation application enabling creation of reconciliation profiles that define how the financial account is to be reconciled, (see fig. 35, also col. 32, lines 24-38) ,

Therefore, it would have been obvious to a person of ordinary skill in the art, at the time of the invention to modify Marcial et al., in view of Quine, and include the step wherein the account reconciliation application enabling creation of reconciliation profiles that define how the financial account is to be reconciled, as taught by Tarter et al., in order to automate the system and provide efficiency and consistency in balancing accounts.

Re claim 37, Marcial et al. disclose a hosting system wherein the application database stores multiple ledger data from multiple different ledgers (*see e.g. col. 3, lines 30-32*).

Re claim 38, Marcial et al. disclose a hosting system, wherein the account reconciliation application enables creation of reconciliation profiles that define how the financial account is to be reconciled, the reconciliation documents being based in part on corresponding reconciliation profiles (*see e.g. col. 12, lines 11-15*).

Re claim 40, Marcial et al. disclose a hosting system wherein the account reconciliation application comprises a collection of server pages to generate web pages used in the creation of the reconciliation documents and a collection of object classes to facilitate reconciliation and review of the reconciliation documents (*see e.g. col. 7, lines 24-38*).

Re claim 41, Marcial et al. disclose a hosting system, further comprising one or more web servers to serve the web pages to remote clients (*see e.g. col. 4, lines 29-32*).

Re claim 43, Marcial et al. disclose a hosting system wherein the reconciliation documents can exhibit financial amounts in different currencies (*see e.g. col. 8, lines 5-8*).

Re claim 44, Marcial et al. disclose a hosting system, further comprising a reporting database system to generate reports pertaining to account reconciliation (*see e.g. col. 5, lines 1-5*).

3. Claims 34, 35, 36, 39 are rejected under 35 U.S.C. 103(a) as being unpatentable over Marcial et al (7,340,421 B1), in view of Quine (20030074288 A1), in view of Tarter et al. (5,704,044), in further view of Bellinger et al. (6,023,705).

Re claims 34, 35, Marcial et al., in view of Quine, in further view of Tarter et al. do not explicitly disclose a hosting system wherein the source database comprises an image repository to store images of source documents; wherein the source database comprises a directory to track identity and location of hardcopies of source documents. However, Bellinger et al. disclose a hosting system wherein the source database comprises an image repository to store images of source documents (*see e.g. col. 12,*

*lines 61-63 – The check data and images can be stored in files on DASD for subsequent transmission to a commercial customer); wherein the source database comprises a directory to track identity and location of hardcopies of source documents (see e.g. col. 21, lines 45-47 – The Media Recreate backup Program 410 available from Check Solutions keeps track of the location of the files copied to a specific tape volume).*

Therefore, it would have been obvious to a person of ordinary skill in the art, at the time of the invention to modify the combination of Marcial et al., Quine and Tarter et al., and include the steps cited above, as taught by Bellinger et al., in order to keep better track of documents for future account reconciliation and dispute resolution purposes

Re claims 36, 39, Marcial et al., in view of Quine, in further view of Tarter et al. do not explicitly disclose a hosting system, wherein the application database stores the reconciliation documents; wherein the application database stores the reconciliation profiles.

However, Bellinger et al. disclose a hosting system, wherein the application database stores the reconciliation documents; wherein the application database stores the reconciliation profiles (see e.g. col. 8, lines 62-65; col. 9, lines 1-10).

Therefore, it would have been obvious to a person of ordinary skill in the art, at the time of the invention to modify the combination of Marcial et al., Quine and Tarter et al., and include the steps cited above, as taught by Bellinger et al., in order to provide each partner/subsidiary with the most current records.

4. Claim 42 is rejected under 35 U.S.C. 103(a) as being unpatentable over Marcial et al (7,340,421 B1), in view of Quine (20030074288 A1), in further view of Tarter et al. (5,704,044), in further view of Ashcroft et al. (7,346,667 B2).

Re claim 42, Marcial et al. do not explicitly disclose a hosting system wherein the web pages present content written in different languages.

However, Ashcroft et al. disclose a hosting system wherein the web pages present content written in different languages (see e.g. col. 10, lines 21 -36).

Therefore, it would have been obvious to a person of ordinary skill in the art, at the time of the invention to modify the combination of Marcial et al., Quine and Tarter et al. and include the steps cited above, as taught by Ashcroft et al., in order to diversify the system.

### ***Response to Arguments***

5. Applicant's arguments with respect to claims 33-37, 39-44 have been considered but are moot in view of the new ground of rejection.

### ***Conclusion***

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to LUNA CHAMPAGNE whose telephone number is (571)272-7177. The examiner can normally be reached on Monday - Friday 8:30 - 5:00.



If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Florian Zeender can be reached on (571) 272-6790. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Luna Champagne/  
Examiner, Art Unit 3627

November 16, 2008

/F. Ryan Zeender/  
Supervisory Patent Examiner, Art Unit 3627